

## CLIQUE-SUMS, TREE-DECOMPOSITIONS AND COMPACTNESS

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We develop a technique for extending excluded minor theorems to infinite graphs, and in particular we answer a question of Neil Robertson.

### 1. Introduction

The aim of this paper is to extend certain results of finite graph theory to infinite graphs and to show a limitation of this.

Recall that a graph  $G$  is a *minor* of a graph  $H$  if  $G$  can be obtained from a subgraph of  $H$  by contraction of edges. There are several so-called excluded minor theorems in finite graph theory, i.e. statements describing finite graphs without minors isomorphic to members of a given list of finite graphs. The celebrated Kuratowski's theorem [4] is also of this form: Finite graphs without minors isomorphic to  $K_{3,3}$  and  $K_5$  are planar.

Note that this theorem is *exact* in the sense that it in fact characterizes the class of graphs with no  $K_{3,3}$  and  $K_5$  minor. Some other excluded minor theorems are listed in *Table 1* below; the first six of them are exact. (For the definition of  $k$ -sums see Section 2.4.)

These statements have a common feature: The describing structure involves clique-sums of graphs from a certain basic class  $\Gamma$ . We develop a general technical tool to treat this situation, the concept of a  $k$ -decomposition over a class  $\Gamma$  (for definition see 2.3). This concept covers the notion of tree-width introduced by Robertson and Seymour [6] in the sense that graphs of tree-width  $\leq k$  are exactly those admitting a  $k$ -decomposition over

$$\Gamma_k = \{(V, E) \mid |V| \leq k + 1\}.$$

The first idea of such a kind was probably due to Wagner [13].

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Table 1.

Excluded minor(s)	Structure
$K_3$	1-sums of graphs with $\leq 2$ vertices (graphs of tree-width $\leq 1$ )
$K_4$	2-sums of graphs with $\leq 3$ vertices (graphs of tree-width $\leq 2$ )
$K_5$	3-sums of planar graphs and the four rung Möbius ladder [12]
$K_{3,3}$	2-sums of planar graphs and $K_5$ [13]
$K_5$ minus one edge	2-sums of wheels, $K_{3,3}$ , the prism and graphs with $\leq 4$ vertices (see [11])
All finite 4-connected graphs	2-sums of the five-sided prism, the four rung Möbius ladder and graphs with $\leq 4$ vertices [1]
Arbitrary $H$ finite planar	$k$ -sums of graphs with $\leq k + 1$ vertices (graphs of tree-width $\leq k$ ) for some $k$ depending on $H$ [6]
Arbitrary $H$ finite	$k$ -sums of graphs which are $(p, q, h)$ -representable over some surface $\Sigma$ in which $H$ cannot be embedded (for some $k, p, q, h$ depending on $H$ ) [7]

Let us briefly recall the definition of tree-width and the basic results. We start with a

**Theorem 1.1.** *Let  $G$  be a finite graph and let  $k > 0$ . Then the following conditions are equivalent:*

- (i)  $k$  is the least integer such that  $G$  can be constructed by repeated clique-sums, starting from graphs in  $\Gamma_k$ .
- (ii)  $k$  is the least integer such that  $G$  admits a  $k$ -decomposition over  $\Gamma_k$ .
- (iii)  $k$  is the least integer such that  $G$  is a subgraph of a chordal graph not containing  $K_{k+2}$ .

**Definition 1.2.** The number  $k$  from the above theorem is called the *tree-width* of  $G$ .

It can be shown, for instance, that the graphs of tree-width  $\leq 1$  are precisely the forests, and the graphs of tree-width  $\leq 2$  are the series-parallel graphs. The complete graph  $K_n$  has tree-width  $n - 1$  and the  $n \times n$  grid (adjacency graph of the  $n \times n$  chessboard) has tree-width  $n$ . For tree-width the following compactness theorem holds.

**Theorem 1.3** [9]. *If every finite subgraph of  $G$  has tree-width  $\leq k$ , then  $G$  has tree-width  $\leq k$ ; in other words,  $G$  admits a  $k$ -decomposition over  $\Gamma_k$  iff each finite subgraph of  $G$  does.*

A simpler proof of 1.3 can be found in [10] or [3]. In this paper we prove a generalization of Theorems 1.1, 1.3 with  $\Gamma_k$  replaced by an arbitrary class  $\Gamma$

satisfying certain natural assumptions (see 2.2, 3.5, 3.9). As an immediate consequence we obtain infinite versions of the theorems of Table 1.

Our results apply only to the case of  $k$ -sums for fixed  $k$ . In Section 4 we present a counterexample showing that the technique cannot be extended to the case of unbounded clique-sums, that is, Proposition 3.4 does not hold for  $|V(K_1) \cap V(K_2)| \leq k$  replaced by  $|V(K_1) \cap V(K_2)| < \omega$ . The counterexample presented also shows that 1.1 fails for  $k = \omega$ . More exactly, we construct a graph  $G$  such that  $k = \omega$  satisfies 1.1(iii) while the  $k$  satisfying 1.1(ii) is arbitrarily large.

## 2. Definitions

**2.1.** A *graph* may be infinite, and may have multiple edges but may not have loops. A *clique* in a graph is a complete subgraph. If  $M$  is a set, then  $K(M)$  denotes the complete graph on  $M$ . A graph is *chordal* if every cycle with at least four vertices has a chord.

If  $G$  is a graph and  $A \subseteq V(G)$ , then  $G \upharpoonright A$  means the graph induced by  $A$  in  $G$ . A *cut* in  $G$  is a set  $C \subseteq V(G)$  such that  $G$  becomes disconnected after deleting vertices from  $C$ . A  *$k$ -cut* in  $G$  is a cut  $C$  such that  $|C| \leq k$  and  $G \upharpoonright C$  is complete. If  $G_\alpha = (V_\alpha, E_\alpha)$  are graphs, then  $\bigcap G_\alpha, \bigcup G_\alpha$  are the graphs  $(\bigcap V_\alpha, \bigcap E_\alpha)$  and  $(\bigcup V_\alpha, \bigcup E_\alpha)$ , respectively.

**2.2.** Let  $\Gamma$  be a class of graphs with the following properties.

( $\Gamma 1$ ) If  $G$  is a graph and  $e_1, e_2 \in E(G)$  are two distinct edges with the same endpoints and if  $G \setminus e_1 \in \Gamma$  then  $G \in \Gamma$ .

( $\Gamma 2$ ) If  $G \in \Gamma$  and  $H$  is a  $k$ -subsimplex of  $G$ , then  $H \in \Gamma$ .

( $\Gamma 3$ ) If  $G$  is such that for every finite subgraph  $H$  of  $G$  there exists a finite subgraph  $H' \in \Gamma$  of  $G$  containing  $H$ , then  $G \in \Gamma$ .

**2.3.** Let  $G$  be a graph. A *tree-decomposition* of  $G$  is a pair  $(T, X)$ , where  $T$  is a tree and  $X = (X^t : t \in V(T))$  is such that

( $T 1$ )  $\bigcup_{t \in V(T)} X^t = V(G)$ ,

( $T 2$ ) every edge  $e$  of  $G$  has both endpoints in some  $X^t$ ,

( $T 3$ ) if  $t, t', t'' \in V(T)$  and  $t'$  is on the path between  $t$  and  $t''$ , then  $X^t \cap X^{t''} \subseteq X^{t'}$ .

We say that a tree-decomposition  $(T, X)$  is a  *$k$ -decomposition of  $G$  over  $\Gamma$* , if

( $T 4$ )  $|X^t \cap X^{t'}| \leq k$  for every  $\{t, t'\} \in E(T)$ ,

( $T 5$ )  $G^* \upharpoonright X^t \in \Gamma$  for every  $t \in V(T)$ ,

where  $G^*$  is the graph obtained from  $G$  by joining every edge which has both its endpoints in some  $X^t \cap X^{t'}$  for  $\{t, t'\} \in E(T)$ .

**2.4.** Let  $G_1, G_2$  be two graphs such that  $G_2 \upharpoonright (V(G_1) \cap V(G_2))$  is edge-less and let  $H_i$  be the graph obtained from  $G_i$  by adding new edges joining every pair of vertices in  $V(G_1) \cap V(G_2)$ . We say  $G_1 \cup G_2$  is the *clique-sum* of  $H_1$  and  $H_2$  and if

$|V(G_1) \cap V(G_2)| \leq k$  we also say it is the  $k$ -sum of  $H_1$  and  $H_2$ . We say that a graph  $G$  is  $k$ -summable over  $\Gamma$  if there exists a transfinite sequence  $\{G_\alpha\}_{\alpha \leq \lambda}$  of graphs such that  $G_0 \in \Gamma$ ,  $G_\lambda = G$ ,  $G_{\alpha+1}$  is a  $k$ -sum of  $G_\alpha$  and some graph from  $\Gamma$  and for  $\alpha$  a limit ordinal

- (1)  $V(G_\alpha) = \bigcup_{\beta < \alpha} V(G_\beta)$
- (2)  $E(G_\alpha) = \bigcup_{\beta < \alpha} \bigcap_{\gamma \geq \beta} E(G_\gamma)$ .

The least  $\lambda$  with this property is called the *rank* of  $G$ . Relations (1) and (2) will be abbreviated  $G_\alpha = \liminf_{\beta < \alpha} G_\beta$ .

**2.5.** A graph  $G$  is called a  $k$ -simplex if it does not contain a  $k$ -cut. If  $G$  is a graph, then each induced subgraph  $H$  of  $G$ , which is a  $k$ -simplex, is called a  $k$ -subsimplex. A graph  $G$  is a  $k$ -complex over  $\Gamma$  if every finite  $k$ -subsimplex belongs to  $\Gamma$ .

### 3. The main results

**Lemma 3.1.** *Let  $G$  be a graph and  $S_1, S_2$  two distinct maximal  $k$ -subsimplices. Then  $S_1 \cap S_2$  is a clique of size at most  $k$ .*

**Proof.** Suppose not. Let  $K$  be a clique in  $G$  of size  $\leq k$ . Then  $(S_1 \cap S_2) \setminus V(K) \neq \emptyset$  and both  $S_1 \setminus V(K), S_2 \setminus V(K)$  are connected. Hence  $(S_1 \cup S_2) \setminus V(K)$  is connected and thus  $S_1 \cup S_2$  is a  $k$ -simplex, contrary to the maximality of  $S_1$  and  $S_2$ .  $\square$

**Proposition 3.2.** *Let  $G$  be a  $k$ -simplex and  $G_0$  a finite subgraph. Then there exists a finite  $k$ -subsimplex of  $G$ , which contains  $G_0$ .*

**Proof.** Assume we have already constructed a finite induced subgraph  $G_n$  of  $G$  such that  $G_n$  contains  $G_0$  and each of its  $k$ -cuts has size at least  $n$ . Let  $C_1, \dots, C_s$  be its  $k$ -cuts. Since  $G$  is a  $k$ -simplex, there is an induced finite subgraph of  $G$ , which contains  $G_n$  and is such that for no  $i$ ,  $C_i$  is a cut of it. Let  $G_{n+1}$  denote a minimal such subgraph. If  $C$  is a  $k$ -cut of  $G_{n+1}$ , it follows by minimality that  $C \cap V(G_n)$  is a  $k$ -cut of  $G_n$  and thus  $C \supseteq C_i$  for some  $i = 1, \dots, s$ . Hence every  $k$ -cut of  $G_{n+1}$  is of size at least  $n + 1$ . Now  $G_{k+1}$  is the desired graph.  $\square$

**Corollary 3.3.** *If  $G$  is a  $k$ -complex over  $\Gamma$ , then every  $k$ -subsimplex belongs to  $\Gamma$ .*

**Proof.** Let  $S$  be a  $k$ -subsimplex of  $G$  and  $S'$  a finite subgraph of  $S$ . By Proposition 3.2 there is a finite  $k$ -subsimplex  $S''$  of  $G$  which contains  $S'$ . Then  $S'' \in \Gamma$ , and hence  $S \in \Gamma$  by (F3).  $\square$

**Proposition 3.4.** *Let  $G$  be a chordal graph such that  $|V(K_1) \cap V(K_2)| \leq k$  for any two distinct maximal cliques  $K_1, K_2$  of  $G$ . Then  $G$  admits a tree-decomposition  $(T, X)$  such that  $G \upharpoonright X^t$  is a maximal clique in  $G$  for any  $t \in V(T)$ .*

**Proof.** We just sketch the proof, and for the remaining details we refer to [3]. Consider the complete edge-valued graph  $\Delta(G)$  on the set of all maximal cliques of  $G$  with the value of edge  $\{K_1, K_2\}$  equal to  $|V(K_1) \cap V(K_2)|$ . Construct a "maximal" skeleton  $T$  of  $\Delta(G)$  by adding at each step an edge of the maximal possible value, not to obtain a cycle. Put  $X = (t \mid t \in V(T))$ . Then  $(T, X)$  is the desired tree-decomposition.  $\square$

**Theorem 3.5.** *The following are equivalent for every integer  $k \geq 2$ :*

- (i)  $G$  is  $k$ -summable over  $\Gamma$ .
- (ii)  $G$  is a subgraph of a  $k$ -complex over  $\Gamma$ .
- (iii)  $G$  admits a  $k$ -decomposition over  $\Gamma$ .

**Proof.** (i)  $\rightarrow$  (ii). We proceed by induction on rank of  $G$ . First we show that if  $G_{\alpha+1}$  is a  $k$ -sum of  $G_\alpha$  and  $G \in \Gamma$  and  $H_\alpha, H$  are  $k$ -complexes over  $\Gamma$  containing  $G_\alpha, G$ , respectively, then there exists a  $k$ -complex  $H_{\alpha+1}$  over  $\Gamma$  such that  $H_{\alpha+1}$  contains  $G_{\alpha+1}$  and  $H_{\alpha+1} \upharpoonright V(G_\alpha) = H_\alpha$ . Indeed, it is sufficient to put  $H_{\alpha+1}$  to be the graph obtained from  $H_\alpha \cup H$  by deleting those edges from  $H$  which join vertices in  $V(H_\alpha) \cap V(H)$ . Now if  $\alpha$  is a limit ordinal and  $G_\alpha = \liminf_{\beta < \alpha} G_\beta$ , let  $H_\beta$  be  $k$ -complexes over  $\Gamma$  defined as above and put  $H_\alpha = \bigcup_{\beta < \alpha} H_\beta$ . It is easily seen that  $H_\alpha$  is a  $k$ -complex over  $\Gamma$  which contains  $G_\alpha$ .

(ii)  $\rightarrow$  (iii). Let  $H$  be a  $k$ -complex over  $\Gamma$  which contains  $G$ . We put

$$H^* := \bigcup \{K(V(S)) \mid S \text{ is a } k\text{-subsimplex of } H\}.$$

Since every chordless cycle of  $H$  of length at least four is a  $k$ -subsimplex of  $H$ , we see that  $H^*$  is chordal. We claim

(\*) If  $K^*$  is a maximal clique in  $H^*$ , then  $K = H \upharpoonright V(K^*)$  is a  $k$ -subsimplex of  $H$ .

Choose a minimal system  $\{S_\alpha\}$  of  $k$ -subsimplices of  $H$  with the property that  $K^*$  is a subgraph of  $K \cup \bigcup_\alpha K(V(S_\alpha))$ . We shall show that  $S := \bigcup_\alpha S_\alpha \cup K$  is a  $k$ -subsimplex of  $H$ . Let  $V$  be a clique in  $S$  of size  $\leq k$ . We shall show that  $S \setminus V$  is connected. Let first  $u, v \in K \setminus V$ . If  $u, v$  are not joined by an edge in  $S$ , then, since they are joined in  $K^*$ , there exists an  $\alpha$  such that  $u, v \in V(S_\alpha)$ . Hence they are joined by a path in  $S_\alpha \setminus V$ .

Now let  $u \in V(S_\beta) \setminus V$ . Since  $\{S_\alpha\}$  is assumed minimal, it follows that  $K \upharpoonright V(S_\beta)$  is not a clique. Hence  $(V(S_\beta) \cap V(K)) \setminus V \neq \emptyset$ . Let  $v \in (V(S_\beta) \cap V(K)) \setminus V$ ; now  $u, v$  are joined by a path in  $S_\beta \setminus V$ . This shows that  $S \setminus V$  is connected, and hence  $S$  is a  $k$ -simplex. Now the maximality of  $K^*$  implies that  $K = S$ , proving (\*).

If  $K_1^*, K_2^*$  are distinct maximal cliques in  $H^*$ , then  $K_1 = H \upharpoonright V(K_1^*), K_2 =$

$H \upharpoonright V(K_2^*)$  are maximal  $k$ -subsimplices of  $H$  by (\*), and hence  $|V(K_1^*) \cap V(K_2^*)| = |V(K_1) \cap V(K_2)| \leq k$  by Lemma 3.1. Thus, the assumptions of Proposition 3.4 are satisfied.

Let  $(T, X)$  be the tree-decomposition of  $H^*$  from Proposition 3.4. If  $\{t, t'\} \in E(T)$ , then  $H \upharpoonright (X^t \cap X^{t'})$  is the intersection of two maximal  $k$ -subsimplices of  $H$  by (\*). Hence by Lemma 3.1  $|X^t \cap X^{t'}| \leq k$  and  $H \upharpoonright (X^t \cap X^{t'})$  is a clique. From this and Corollary 3.3 it follows that if  $G^*$  is as in (T5) then  $G^* \upharpoonright X^t$  is a subgraph of  $H \upharpoonright X^t \in \Gamma$ . Hence  $(T, X)$  can be converted to a  $k$ -decomposition over  $\Gamma$  of  $G$  by adding a leaf  $r(t, e)$  with  $X^{r(t, e)} = e$  for every  $e \in E(H \upharpoonright X^t) \setminus E(G^*)$ .

(iii)  $\rightarrow$  (i). Let  $(T, X)$  be a  $k$ -decomposition over  $\Gamma$  of  $G$ . There are subtrees  $\{T_\alpha\}_{\alpha \leq \lambda}$  of  $T$  such that  $T_0 = (\{t_0\}, \emptyset)$ , the one-point tree,  $T_\lambda = T$ ,  $T_{\alpha+1}$  is obtained from  $T_\alpha$  by joining a vertex  $t_{\alpha+1}$  of degree one and  $T_\alpha = \bigcup_{\beta < \alpha} T_\beta$  for  $\alpha$  a limit ordinal. Let  $G^*$  be the graph obtained from  $G$  by joining all edges

$$\{e_{\{t, t'\}}^{\{u, v\}} \mid \{t, t'\} \in E(T), u, v \in X^t \cap X^{t'}, u \neq v\},$$

where  $e_{\{t, t'\}}^{\{u, v\}}$  has  $u, v$  as its endpoints. Let  $t_{\alpha+1}$  be that vertex of  $T_{\alpha+1}$  to which  $t_\alpha$  is adjacent. Put

$$G_\alpha := (G^* \upharpoonright \bigcup \{X^t \mid t \in V(T_\alpha)\}) \setminus \{e_{\{t, t'\}}^{\{u, v\}} \mid \{t, t'\} \in E(T_\alpha), u, v \in X^t \cap X^{t'}, u \neq v\}$$

$$G'_\alpha := ((G^* \upharpoonright X^{t_{\alpha+1}}) \setminus E(G_\alpha)) \cup \{e_{\{t_{\alpha+1}, t_{\alpha+1}\}}^{\{u, v\}} \mid u, v \in X^{t_{\alpha+1}} \cap X^{t_{\alpha+1}}, u \neq v\}.$$

It is easily seen that  $G_0 \in \Gamma$ ,  $G_\lambda = G$ ,  $G_{\alpha+1}$  is the  $k$ -sum of  $G_\alpha$  and  $G'_\alpha \in \Gamma$  and that for a limit ordinal  $\alpha$   $G_\alpha = \liminf_{\beta < \alpha} G_\beta$ . Hence  $G$  is  $k$ -summable over  $\Gamma$ .  $\square$

**Corollary 3.6.** *Let  $\Gamma$  satisfy the following condition:*

*Let  $G$  be a graph and  $e \in E(G)$ . If  $G \setminus e \in \Gamma$  then  $G \in \Gamma$ . Then (i), (ii), (iii) of the preceding theorem are equivalent to:*

(iv)  *$G$  is a subgraph of a chordal graph  $H$  such that every clique of  $H$  belongs to  $\Gamma$  and any two distinct maximal cliques of  $H$  have at most  $k$  vertices in common.*

**Remark 3.7.** The method of proof (ii)  $\rightarrow$  (iii) in Theorem 3.5 gives another existence theorem for prime graph decompositions of infinite graphs. To make this statement precise let us call a graph  $G$  *prime* if there is no complete subgraph of  $G$  which is a cut. A *prime graph decomposition* is a tree-decomposition  $(T, X)$  of  $G$  such that  $G \upharpoonright X^t$  is prime for any  $t \in V(T)$  and  $G \upharpoonright (X^t \cap X^{t'})$  is complete for any  $\{t, t'\} \in E(T)$ . An infinite graph need not have a prime decomposition, but every graph without infinite cliques does (see [2]). Thus, the following corollary is another theorem of this kind.

**Corollary 3.8.** *Let  $H$  be a graph and  $k$  an integer such that*

$$|V(G_1) \cap V(G_2)| \leq k$$

*for any two distinct maximal prime induced subgraphs  $G_1, G_2$  of  $H$ . Then  $H$  admits a prime graph decomposition.*

**Proof.** We use the proof of (ii)→(iii) from 3.5 with “ $k$ -simplex” replaced by “prime” and “ $k$ -subsimplex” replaced by “induced prime subgraph”, we also drop the restriction on the size of  $V$  and instead of Lemma 3.1 we use our assumption. Then the tree-decomposition  $(T, X)$  of  $H$  thus produced is as desired, because for  $t \in V(T)$ ,  $H \upharpoonright X^t$  is maximal prime by (\*) and for  $\{t, t'\} \in E(T)$ ,  $H \upharpoonright (X^t \cap X^{t'})$  is complete, being the intersection of two maximal prime graphs.  $\square$

**Theorem 3.9.** *Let  $G$  be such that every finite subgraph of  $G$  is a subgraph of a  $k$ -complex over  $\Gamma$ . Then  $G$  is a subgraph of a  $k$ -complex over  $\Gamma$ .*

**Proof.** We shall prove the theorem for graphs without multiple edges for simplicity. The general case is then trivial.

For each  $\alpha \in \binom{V(G)}{2}$  we introduce a logical variable  $A_\alpha$ . Consider the system of formulas

- (1)  $A_\alpha$  for  $\alpha \in E(G)$
- (2)  $\bigvee_{\alpha \in E} \neg A_\alpha \vee \bigvee_{\alpha \in \binom{V}{2} \setminus E} A_\alpha$  for every finite graph  $(V, E)$  which is a  $k$ -simplex not belonging to  $\Gamma$  such that  $V \subseteq V(G)$ ,  $E \subseteq \binom{V}{2}$ .

A valuation  $v: \{A_\alpha \mid \alpha \in \binom{V(G)}{2}\} \rightarrow 2$  satisfies (1), (2) iff the graph with vertex set  $V(G)$  and edges  $\{\alpha \mid A_\alpha[v] = 1\}$  is a  $k$ -complex over  $\Gamma$  which contains  $G$ . By assumption, each finite subsystem of (1), (2) can be fulfilled. Use Logical Compactness.  $\square$

**3.10.** Now we are able to extend the results of *Table 1* to infinite graphs. The last statement answers a question of Robertson [8] as to whether the excluded minor theorem from [7] can be extended to the infinite case. As in the finite case, the first six results are exact.

Table 2.

Excluded minor(s)	Structure
$K_3$	tree-width $\leq 1$
$K_4$	tree-width $\leq 2$
$K_5$	graphs 3-summable over the four rung Möbius ladder and graphs with every finite subgraph planar
$K_{3,3}$	2-summable over $K_5$ and graphs with every finite subgraph planar
$K_5$ minus one edge	2-summable over wheels, $K_{3,3}$ , the prism and graphs with $\leq 4$ vertices
All finite 4-connected graphs	2-summable over the five-sided prism, the four rung Möbius ladder and graphs with $\leq 4$ vertices
Arbitrary finite planar $H$	bounded tree-width
Arbitrary finite $H$	$k$ -summable over graphs with each finite subgraph $(p, q, h)$ -representable over some $\Sigma H$ cannot be drawn on.

#### 4. An example

**4.1.** Theorem 1.1 does not hold for infinite  $k$ . In fact for any cardinal  $\kappa$  there exists a chordal graph  $G$  with each clique at most countable such that every tree-decomposition  $(T, X)$  contains an  $X^t$  of cardinality  $\geq \kappa$ .

Let the vertices of  $G$  be all sequences of elements of  $\kappa$  of length  $\leq \omega$  including the empty sequence and let  $\{u, v\} \in E(G)$  if  $u$  is a proper initial segment of  $v$ . Clearly  $G$  is a chordal graph in which all cliques are at most countable. We prove the following

**Theorem 4.2.** Any tree-decomposition  $(T, X)$  of  $G$  contains an  $X^t$  of cardinality  $\geq \kappa$ .

**Proof.** Suppose the contrary. Sequences of ordinals will be indicated by juxtaposition. We shall construct finite sequences  $\lambda_0, \dots, \lambda_n, \dots \in V(G)$  and distinct vertices  $t_0, \dots, t_n, \dots \in V(T)$  such that for all  $n$

- (i) there exist ordinals  $\alpha_0, \dots, \alpha_n, \dots < \kappa$  such that  $\lambda_n = \alpha_0 \alpha_1 \dots \alpha_{n-1}$ ,
- (ii)  $X^{t_n}$  contains  $\lambda_0, \dots, \lambda_n$  but does not contain any  $\lambda \in V(G)$  with  $\lambda_{n+1}$  as an initial segment,
- (iii) if  $t$  is on the path between  $t_n$  and  $t_{n+1}$  and  $X^t \supseteq \{\lambda_0, \dots, \lambda_{n+1}\}$ , then  $t = t_{n+1}$ .

Let  $\lambda_0$  be the empty sequence and choose  $t_0$  such that  $\lambda_0 \in X^{t_0}$ . Now assume we have already constructed  $\lambda_0, \dots, \lambda_n, t_0, \dots, t_n$ . Since  $|X^{t_n}| < \kappa$ , there exists an ordinal  $\alpha_n \in \kappa$  such that  $\lambda \notin X^{t_n}$  whenever  $\lambda_{n+1} = \lambda_n \alpha_n$  is the initial segment of  $\lambda$ . Now choose  $t_{n+1} \in V(T)$  such that  $\lambda_0, \dots, \lambda_n, \lambda_{n+1} \in X^{t_{n+1}}$  (this is possible since  $G \upharpoonright \{\lambda_0, \dots, \lambda_{n+1}\}$  is a complete graph) and such that the distance of  $t_{n+1}$  from  $t_n$  in  $T$  is the least possible. Obviously this choice of  $t_{n+1}$  implies (iii). This completes the construction.

We claim that for any  $n \in \omega$ ,  $t_{n+1}$  lies on the path between  $t_n$  and  $t_{n+2}$ . Should this not be the case, we have the following possibilities:

- (a)  $t_n$  is on the path between  $t_{n+1}$  and  $t_{n+2}$ . Then

$$\lambda_{n+1} \in X^{t_{n+1}} \cap X^{t_{n+2}} \subseteq X^{t_n}$$

by (T3), a contradiction.

- (b)  $t_n$  is not on the path between  $t_{n+1}$  and  $t_{n+2}$ . Then denote by  $t$  that vertex of  $T$ , which belongs to the path between  $t_{n+1}$  and  $t_{n+2}$  and whose distance from  $t_n$  is the least possible. By the assumptions,  $t \notin \{t_n, t_{n+1}\}$  and

$$\{\lambda_0, \dots, \lambda_{n+1}\} \subseteq X^{t_{n+1}} \cap X^{t_{n+2}} \subseteq X^t,$$

by (T3), a contradiction to (iii) (realize that  $t$  lies on the path between  $t_n, t_{n+1}$ ).

It follows from the claim that there is an infinite path  $s_0, s_1, \dots$  in  $T$  containing  $t_0, t_1, \dots$  in this order. Let, say  $s_{i_n} = t_n$ . Then  $i_1 < i_2 < \dots$ . Let  $\lambda \in V(G)$  be the infinite sequence with initial segments  $\lambda_n$ . Since  $G \upharpoonright \{\lambda_0, \dots, \lambda_n, \lambda\}$  is a



complete graph, we have for each  $n$  a vertex  $u_n \in V(T)$  such that

$$\lambda_0, \dots, \lambda_n, \lambda \in X^{u_n}.$$

Let  $j_n$  be such that the distance between  $u_n$  and  $s_{j_n}$  is the least possible. Now we distinguish two cases.

If  $j_{n+1} > i_n$  for any  $n$ , take  $n$  such that  $i_n > j_1$ . Then we have  $j_{n+1} > i_n > j_1$  and hence  $s_{i_n}$  lies on the path between  $u_n, u_{n+1}$ . By (T3) we conclude

$$\lambda \in X^{u_1} \cap X^{u_{n+1}} \subseteq X^{s_{j_n}} \cap X^{s_{j_{n+1}}} \subseteq X^{s_{i_n}} = X^{t_n},$$

contradicting (ii).

If  $j_{n+1} \leq i_n$  for some  $n$ , then by (T3)

$$\lambda_{n+1} \in X^{u_{n+1}} \cap X^{t_{n+1}} = X^{s_{j_{n+1}}} \cap X^{s_{i_{n+1}}} \subseteq X^{s_{i_n}} = X^{t_n},$$

again contradicting (ii).  $\square$

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