

Three-coloring triangle-free planar graphs in linear time*

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Abstract

Grötzsch's theorem states that every triangle-free planar graph is 3-colorable, and several relatively simple proofs of this fact were provided by Thomassen and other authors. It is easy to convert these proofs into quadratic-time algorithms to find a 3-coloring, but it is not clear how to find such a coloring in linear time (Kowalik used a nontrivial data structure to construct an $O(n \log n)$ algorithm).

We design a linear-time algorithm to find a 3-coloring of a given triangle-free planar graph. The algorithm avoids using any complex data structures, which makes it easy to implement. As a by-product we give a yet simpler proof of Grötzsch's theorem.

1 Introduction

The following is a classical theorem of Grötzsch [6].

Theorem 1.1. *Every triangle-free planar graph is 3-colorable.*

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This result has been the subject of extensive research. Thomassen [15, 16] found two short proofs and extended the result in many ways. We return to the various extensions later, but let us discuss algorithmic aspects of Theorem 1.1 first. It is easy to convert either of Thomassen’s proofs into a quadratic-time algorithm to find a 3-coloring, but it is not clear how to do so in linear time. A serious problem appears very early in the algorithm. Given a facial cycle C of length four, one would like to identify a pair of diagonally opposite vertices of C and apply recursion to the smaller graph. It is easy to see that at least one pair of diagonally opposite vertices on C can be identified without creating a triangle, but how can we efficiently decide which pair? If we could test in (amortized) constant time whether given two vertices are joined by a path of length at most three, then that would take care of this issue. This can, in fact, be done, using a data structure of Kowalik and Kurowski [8] *provided* the graph does not change. In our application, however, we need to repeatedly identify vertices, and it is not clear how to maintain the data structure of Kowalik and Kurowski in overall linear time. Kowalik [7] developed a sophisticated enhancement of this data structure that supports edge addition and deletion in amortized $O(\log n)$ time. Furthermore, he found a variant of the proof of Grötzsch’s theorem that can be turned into an $O(n \log n)$ algorithm to 3-color a triangle-free planar graph on n vertices using this data structure. We improve this to a linear-time algorithm, as follows.

Theorem 1.2. *There is a linear-time algorithm to 3-color an input triangle-free planar graph.*

To describe the algorithm we exhibit a specific list of five reducible configurations, called “multigrams”, and show that every triangle-free planar graph contains one of those reducible configurations. Proving this is the only step that requires some effort; the rest of the algorithm is entirely straightforward, and the algorithm is very easy to implement. Given a triangle-free planar graph G we look for one of the reducible configurations in G , and upon finding one we modify G to a smaller graph G' , and apply the algorithm recursively to G' . It is easy to see that every 3-coloring of G' can be converted to a 3-coloring of G in constant time. Furthermore, each reducible configuration has a vertex of degree at most three, and, conversely, given a vertex of G of degree at most three it can be checked in constant time whether it belongs to a reducible configuration. Thus at every step a reducible configuration can be found in amortized constant time by maintaining a list of candidates for

such vertices. As a by-product of the proof of correctness of our algorithm we give a short proof of Grötzsch's theorem.

Let us briefly survey some of the related work. Since in a proof of Theorem 1.1 it is easy to eliminate faces of length four, the heart of the argument lies in proving the theorem for graphs of girth at least five. For such graphs there are several extensions of the theorem. Thomassen proved in [15] that every graph of girth at least five that admits an embedding in the projective plane or the torus is 3-colorable, and the analogous result for Klein bottle graphs was obtained in [14]. For a general surface Σ , Thomassen [17] proved the deep theorem that there are only finitely many 4-critical graphs of girth at least five that embed in Σ . (A graph is 4-critical if it is not 3-colorable, but every proper subgraph is.)

None of the results mentioned in the previous paragraph hold without the additional restriction on girth. Nevertheless, Gimbel and Thomassen [5] found an elegant characterization of 3-colorability of triangle-free projective-planar graphs. That result does not seem to extend to other surfaces, but two of us in a joint work with Král' [3] were able to find a sufficient condition for 3-colorability of triangle-free graphs drawn on a fixed surface Σ . The condition is closely related to the sufficient condition for the existence of disjoint connecting trees in [12]. Using that condition Dvořák, Král' and Thomas were able to design a linear-time algorithm to test if a triangle-free graph on a fixed surface is 3-colorable [3].

If we allow the planar graph G to have triangles, then testing 3-colorability becomes NP-hard [4]. There is an interesting conjecture of Steinberg stating that every planar graph with no cycles of length four or five is 3-colorable, but that is still open. Every planar graph is 4-colorable by the Four-Color Theorem [1, 2, 11], and a 4-coloring can be found in quadratic time [11]. Any improvement to the running time of this algorithm would seem to require new ideas. A 5-coloring of a planar graph can be found in linear time [10].

Our terminology is standard. All *graphs* in this paper are simple and *paths* and *cycles* have no repeated vertices. By a *plane graph* we mean a graph that is drawn in the plane. On several occasions we will be identifying vertices, but when we do, we will remove the resulting parallel edges. When this will be done by the algorithm we will make sure that the only parallel edges that arise will form faces of length two. The detection and removal of such parallel edges can be done in constant time.

2 Short proof of Grötzsch's theorem

Let G be a plane graph. Somewhat nonstandardly, we call a cycle F in G *facial* if it bounds a face in a connected component of G , regardless of whether F is a face or not (another component of G might lie in the disk bounded by F). This technicality makes no difference in this section, because here we may assume that all graphs are connected. However, it will be needed in the description of the algorithm, because the graph may become disconnected during the course of the algorithm, and we cannot afford to decompose it into connected components.

By a *tetragram* in G we mean a sequence (v_1, v_2, v_3, v_4) of vertices of G such that they form a facial cycle in G in the order listed. We define a *hexagram* (v_1, v_2, \dots, v_6) similarly. By a *pentagram* in G we mean a sequence $(v_1, v_2, v_3, v_4, v_5)$ of vertices of G such that they form a facial cycle in G in the order listed and v_1, v_2, v_3, v_4 all have degree exactly three. We will show that every triangle-free planar graph of minimum degree at least three has a tetra-, penta- or hexagram with certain additional properties that will allow an inductive argument. But first we need the following lemma.

Lemma 2.1. *Let G be a connected triangle-free plane graph and let f_0 be the unbounded face of G . Assume that the boundary of f_0 is a cycle C of length at most six, and that every vertex of G not on C has degree at least three. If $G \neq C$, then G has either a tetragram, or a pentagram $(v_1, v_2, v_3, v_4, v_5)$ such that $v_1, v_2, v_3, v_4 \notin V(C)$.*

Proof. We define the charge of a vertex v to be $3 \deg(v) - 12$, the charge of the face f_0 to be $3|V(C)| + 11$ and the charge of a face $f \neq f_0$ of length ℓ to be $3\ell - 12$. It follows from Euler's formula that the sum of the charges of all vertices and faces is -1 .

We now redistribute the charges according to the following rules. Every vertex not on C of degree three will receive one unit of charge from each incident face, each vertex on C of degree three will receive three units from f_0 , and each vertex of degree two on C will receive five units from f_0 and one unit from the other incident face. Thus the final charge of every vertex is non-negative.

We now show that the final charge of f_0 is also non-negative. Let ℓ denote the length of C . Then f_0 has initial charge of $3\ell + 11$. By hypothesis at least one vertex of C has degree at least three, and hence f_0 sends a total of at

most $5(\ell - 1) + 3$ units of charge, leaving it at the end with charge of at least $3\ell + 11 - 5(\ell - 1) - 3 \geq 1$.

Since no charge is lost or created, there is a face $f \neq f_0$ whose final charge is negative. Since f sends at most one unit to each incident vertex, we see that f has length at most five. Furthermore, if f has length exactly five, then it sends one unit to at least four incident vertices. None of those could be a degree two vertex on C , for then f would not be sending anything to the ends of the common subpath of the boundaries of f and f_0 . Thus the vertices of f form the desired tetragram or pentagram. \square

Let $k = 4, 5, 6$, and let (v_1, v_2, \dots, v_k) be a tetragram, pentagram or hexagram in a triangle-free plane graph G . If $k = 4$ or $k = 6$, then we say that (v_1, v_2, \dots, v_k) is *safe* if every path in G of length at most three with ends v_1 and v_3 is a subgraph of the cycle $v_1 v_2 \dots v_k$. For $k = 5$ we define safety as follows. For $i = 1, 2, 3, 4$ let x_i be the neighbor of v_i distinct from v_{i-1} and v_{i+1} (where $v_0 = v_5$). Then $x_i \notin \{v_1, \dots, v_5\}$, because G is triangle-free. Assume that

- the vertices x_1, x_2, x_3, x_4 are pairwise distinct and pairwise non-adjacent, and
- there is no path in $G \setminus \{v_1, v_2, v_3, v_4\}$ of length at most three from x_2 to v_5 , and
- every path in $G \setminus \{v_1, v_2, v_3, v_4\}$ of length at most three from x_3 to x_4 has length exactly two, and its completion via the path $x_3 v_3 v_4 x_4$ results in a facial cycle of length five in G (in particular, there is at most one such path).

In those circumstances we say that the pentagram (v_1, v_2, \dots, v_5) is *safe*.

Lemma 2.2. *Every triangle-free plane graph G of minimum degree at least three has a safe tetragram, a safe pentagram, or a safe hexagram.*

Proof. Let G be as stated. If (v_1, v_2, v_3, v_4) is a tetragram in G , then one of the tetragrams (v_1, v_2, v_3, v_4) , (v_2, v_3, v_4, v_1) is safe, as G is planar and triangle-free. Thus we may assume that G has no 4-faces, and hence every 4-cycle in G is separating.

Let us define an induced subgraph G_1 of G and a facial cycle C_1 of G_1 in the following way: If G has a separating cycle of length at most five, then let

us select such a cycle C_1 so that the disk it bounds is as small as possible, and let G_1 be the subgraph of G consisting of all vertices and edges drawn in the closed disk bounded by C_1 . If G has no separating cycle of length at most five, then let $G_1 := G$ and let C_1 be a facial cycle of G of length at most five. Such a facial cycle exists, because the minimum degree of G is at least three. In the latter case, we also redraw G so that C_1 becomes the outer face; thus G_1 is always drawn in the closed disk bounded by C_1 . Note that G_1 does not contain any separating cycle of length at most five, and thus G_1 does not contain any 4-cycle except possibly C_1 .

Next, we define a subgraph G_2 of G_1 and its facial cycle C_2 as follows. If G_1 contains a separating cycle of length six, then choose such a cycle C_2 so that the disk it bounds contains as few vertices as possible, and let G_2 be the subgraph of G_1 consisting of all vertices and edges drawn in the closed disk bounded by C_2 . Otherwise, let $G_2 := G_1$ and $C_2 := C_1$. Note that G_2 does not contain any separating cycle of length at most six. As G has no 4-faces, it follows that any cycle of length at most six in G_2 bounds a face.

The cycle C_2 is induced in G , for if it had a chord, then the chord would belong to G_1 (because G_1 is an induced subgraph of G), and hence $V(C_2)$ would include the vertex-sets of two distinct cycles of length at most (and hence exactly) four in G_1 , a contradiction.

From Lemma 2.1 applied to the graph G_2 and facial cycle C_2 we deduce that G_2 has a pentagram $(v_1, v_2, v_3, v_4, v_5)$ such that $v_1, v_2, v_3, v_4 \notin V(C_2)$. We may assume that neither this pentagram nor the pentagram $(v_4, v_3, v_2, v_1, v_5)$ is safe in G , for otherwise the lemma holds. Let x_i be the neighbor of v_i outside of the pentagram, for $1 \leq i \leq 4$. Note that all of these neighbors belong to G_2 , and as G_2 is triangle-free and contains no 4-cycles other than C_2 and no separating cycles of length at most 5, they are distinct and mutually non-adjacent. It follows that $|\{x_1, x_2, x_3, x_4\} \cap V(C_2)| \leq 3$, and by symmetry we may assume that at least one of x_3 and x_4 does not lie on C_2 . Furthermore, as each cycle of length at most six in G_2 is facial, if $v_5 \in V(C_2)$, then $\{x_1, x_2, x_3, x_4\} \cap V(C_2) = \emptyset$.

Since the pentagram $(v_1, v_2, v_3, v_4, v_5)$ is not safe in G , there exists a pair of vertices x, y such that either $\{x, y\} = \{x_2, v_5\}$ or $\{x, y\} = \{x_3, x_4\}$, and there exists a path P in $G \setminus \{v_1, v_2, v_3, v_4\}$ with ends x and y such that P has length at most three, and if $\{x, y\} = \{x_3, x_4\}$, then either P has length exactly three, or its completion via the path $x_3v_3v_4x_4$ does not result in a facial cycle in G . If $\{x, y\} = \{x_2, v_5\}$ then let Q denote the path $x_2v_2v_1v_5$; otherwise let Q denote the path $x_3v_3v_4x_4$. Suppose first that $P \cup Q$ bounds

a face in G . Then it follows that $\{x, y\} = \{x_3, x_4\}$, and hence P has length exactly three. Let the vertices of $P \cup Q$ be $x_3v_3v_4x_4ab$ in order. Let us argue that $(x_4, v_4, v_3, x_3, a, b)$ is a safe hexagram. If that were not the case, then there would exist a path $x_4u_1v_3$ or $x_4u_1u_2v_3$ for some $u_1, u_2 \neq v_4$. Since v_2 and v_3 have degree three and the vertices x_1, x_2, x_3 and x_4 are distinct and mutually non-adjacent, the former case is not possible, and in the latter case $u_2 = x_3$. However, since at most one of x_3 and x_4 lies on C_2 , $x_4u_1x_3v_3v_4$ would be a separating 5-cycle in G_2 , and hence in G_1 , a contradiction.

Thus we may assume that $P \cup Q$ does not bound a face in G , and so $P \cup Q$ is a separating cycle in G . It follows from the choice of C_2 that $P \cup Q$ is not a subgraph of G_2 . But not both x, y belong to C_2 and C_2 is induced; thus a subpath R of $P \cup Q$ of length four joins two vertices w_1, w_4 of C_2 , and a vertex w of $(P \cup Q) \setminus V(G_2)$ is adjacent to both w_1 and w_4 . If $w \notin V(G_1)$, then $w_1, w_4 \in V(C_1)$, because they belong to C_2 . But C_1 has length at most five, and w_1, w_4 are not adjacent, because G is triangle-free. Thus w_1, w_4 have a common neighbor in C_1 , and this neighbor can replace w . Thus we may assume that $w \in V(G_1)$.

If w_1 and v_4 have a common neighbor in C_2 , then R can be completed using this neighbor to a cycle that contradicts the choice of C_2 . It follows that w_1, w_4 are at distance three on C_2 , and so we may assume that the vertices of C_2 are w_1, w_2, \dots, w_6 , in order. From the symmetry we may assume that $w_1w_2w_3w_4w$ bounds a face, by the minimality of C_1 . Thus the closed disk bounded by $P \cup Q$ does not include w_5, w_6 , and it includes no vertex of $V(G) - V(G_2)$, except w . Thus $P \cup Q$ contradicts the choice of C_2 . \square

Proof of Theorem 1.1. Let G be a triangle-free plane graph. We proceed by induction on $|V(G)|$. We may assume that every vertex v of G has degree at least three, for otherwise the theorem follows by induction applied to $G \setminus v$. By Lemma 2.2 there is a safe tetra-, penta-, or hexagram (v_1, v_2, \dots, v_k) . If $k = 4$ or $k = 6$, then we apply induction to the graph obtained from G by identifying v_1 and v_3 . It follows from the definition of safety that the new graph has no triangles, and clearly every 3-coloring of the new graph extends to a 3-coloring of G .

Thus we may assume that (v_1, v_2, \dots, v_5) is a safe pentagram in G . Let G' be obtained from $G \setminus \{v_1, v_2, v_3, v_4\}$ by identifying v_5 with x_2 , and x_3 with x_4 . It follows from the definition of safety that G' is triangle-free, and hence it is 3-colorable by the induction hypothesis. Any 3-coloring of G' can be extended to a 3-coloring of G : let c_1 be the color of x_1 , c_2 the color of x_2

and v_5 , and c_3 the color of x_3 and x_4 . If $c_1 = c_2$, then we color the vertices v_4, v_3, v_2 and v_1 in this order. Note that when v_i ($i = 1, 2, 3, 4$) is colored, it is adjacent to vertices of at most two different colors, and hence we can choose the third color for it. Similarly, if $c_2 = c_3$, then we color the vertices in the following order: v_1, v_2, v_3 and v_4 . Let us now consider the case that $c_1 \neq c_2 \neq c_3$. We color v_2 with c_1 , v_3 with c_2 , and choose a color different from c_1 and c_2 for v_1 and a color different from c_2 and c_3 for v_4 . Thus G is 3-colorable, as desired. \square

Let us note that the essential ideas of the proof came from Thomassen's work [15]. For graphs of girth at least five Thomassen actually proves a stronger statement, namely that every 3-coloring of an induced facial cycle of length at most nine extends to a 3-coloring of the entire triangle-free plane graph, unless some vertex of G has three distinct neighbors on C (and those neighbors received three different colors). By restricting ourselves to Theorem 1.1 we were able to somewhat streamline the argument. Another variation of the same technique is presented in [7].

3 Graph representation

For the purpose of our algorithm, graphs will be represented by means of doubly linked adjacency lists. More precisely, the neighbors of each vertex v will be listed in the clockwise cyclic order in which they appear around v , and the two occurrences of the same edge will be linked to each other. The facial walks of the graph can be read off from this representation using the standard face tracing algorithm (Mohar and Thomassen [9], page 93). Thus all vertices and edges incident with a facial cycle of length k can be listed in time $O(k)$. Here we make use of our non-standard definition of facial cycle.

Suppose that D is a fixed constant (in our algorithm, $D = 59$). We can perform the following operations with graphs represented in the described way in constant time:

- remove an edge when a corresponding entry of the adjacency list is given
- add an edge with ends u, v into a face f , assuming that the edges preceding and following u, v in the facial boundary of f are specified
- remove an isolated vertex

- determine the degree of a vertex v if $\deg(v) \leq D$, or prove that $\deg(v) > D$
- check whether two vertices u and v such that $\min(\deg(u), \deg(v)) \leq D$ are adjacent
- check whether the distance between two vertices u and v such that $\max(\deg(u), \deg(v)) \leq D$ is at most two
- given an edge e incident with a face f , output all vertices whose distance from e in the facial walk of f is at most two, and determine whether the length of the component of the boundary of f that contains e has length at most 6
- output the subgraph consisting of vertices reachable from a vertex v_0 through a path v_0, v_1, \dots, v_t of length $t \leq D$, such that $\deg(v_i) \leq D$ for $0 \leq i < t$ (but the degree of v_t may be arbitrary).

All the transformations and queries executed in the algorithm can be expressed in terms of these simple operations.

4 The algorithm

The idea of our algorithm is to find a safe tetragram, pentagram or hexagram γ in G and use it to reduce the size of the graph as in the proof of Theorem 1.1 above. Finding γ is easy, but the difficulty lies in testing safety. To resolve this problem we prove a variant of Lemma 2.2 that will guarantee the existence of such γ with an additional property that will allow testing safety in constant time. The additional property, called security, is merely that enough vertices in and around γ have bounded degree. Unfortunately, the additional property we require necessitates the introduction of two more configurations, a variation of tetragram called “octagram” and a variation of pentagram called “decagram”. For the sake of consistency, we say that a *monogram* in a graph G is the one-vertex sequence (v) comprised of a vertex $v \in V(G)$ of degree at most two.

Now let G be a plane graph, let $k \in \{1, 4, 5, 6\}$ and let $\gamma = (v_1, v_2, \dots, v_k)$ be a mono-, tetra-, penta-, or hexagram in G . Let C be a subgraph of G . (For the purpose of this section the reader may assume that C is the null graph, but in the next section we will need C to be a facial cycle of G .) A

vertex of G is *big* if it has degree at least 60, and *small* otherwise. A vertex $v \in V(G)$ is *C-admissible* if it is small and does not belong to C ; otherwise it is *C-forbidden*. A pentagram (v_1, v_2, \dots, v_5) is called a *decagram* if v_5 has degree exactly three (and hence v_1, \dots, v_5 all have degree three). A tetragram is called an *octagram* if all its vertices have degree exactly three. A *multigram* is a monogram, tetragram, pentagram, hexagram, octagram or a decagram. The vertex v_1 will be called the *pivot* of the multigram (v_1, v_2, \dots, v_k) . In the following γ will be a multigram, and we will define (or recall) what it means for γ to be safe and *C-secure*. We will also define a smaller graph G' , which will be called the *γ -reduction of G* .

If γ is a monogram, then we define it to be always *safe*, and we say that it is *C-secure* if $v_1 \notin V(C)$. We define $G' := G \setminus v_1$.

Now let γ be a tetragram. Let us recall that γ is safe if the only paths in G of length at most three with ends v_1 and v_3 are subgraphs of the facial cycle $v_1v_2v_3v_4$. We say that γ is *C-secure* if

- it is safe, and
- v_1 is *C-admissible* and has degree exactly three, and
- letting x denote the neighbor of v_1 other than v_2 and v_4 , the vertex x is *C-admissible*, and
- either
 - v_3 is *C-admissible*, or
 - every neighbor w of x is *C-admissible* or belongs to a 4-face incident with the edge v_1x (either v_1v_2wx or v_1v_4wx).

We define G' to be the graph obtained from G by identifying the vertices v_1 and v_3 and deleting one edge from each of the two pairs of parallel edges that result.

If γ is an octagram, then it is always *safe*, and it is *C-secure* if v_1, v_2, v_3, v_4 are all *C-admissible*. We define $G' := G \setminus \{v_1, v_2, v_3, v_4\}$.

Now let γ be a decagram, and for $i = 1, 2, 3, 4$ let x_i be the neighbor of v_i other than v_{i-1} or v_{i+1} , where v_0 means v_5 . We say that the decagram γ is *safe* if x_1, x_3 are distinct, non-adjacent and there is no path of length two between them. We say that γ is *C-secure* if it is safe and the vertices $v_1, v_2, \dots, v_5, x_1, x_3$ are all *C-admissible*. We define G' to be the graph obtained from $G \setminus \{v_1, v_2, \dots, v_5\}$ by adding the edge x_1x_3 .

Now let γ be a pentagram, and for $i = 1, 2, 3, 4$ let x_i be as in the previous paragraph. Let us recall that the safety of γ was defined prior to Lemma 2.2. We say that γ is *C-secure* if it is safe, the vertices $v_1, v_2, \dots, v_5, x_1, x_2, x_3, x_4$ are all *C*-admissible, either v_5 or x_2 has no *C*-forbidden neighbor, and either x_3 or x_4 has no *C*-forbidden neighbor. We define G' as in the proof of Theorem 1.1: G' is obtained from $G \setminus \{v_1, v_2, v_3, v_4\}$ by identifying x_2 and v_5 ; identifying x_3 and x_4 ; and deleting one of the parallel edges should x_3 and x_4 have a common neighbor.

Finally, let γ be a hexagram. Let us recall that γ is safe if every path of length at most three in G between v_1 and v_3 is the path $v_1v_2v_3$. We say that γ is *C-secure* if v_1, v_3, v_6 are *C*-admissible, v_1 has degree exactly three, and the neighbor of v_1 other than v_2 or v_6 is *C*-admissible. We define G' to be the graph obtained from G by identifying the vertices v_1 and v_3 and deleting one of the parallel edges that result.

We say that a multigram γ is *secure* if it is K_0 -secure, where K_0 denotes the null graph. This completes the definition of safe and secure multigrams.

Lemma 4.1. *Let G be a triangle-free plane graph, let γ be a safe multigram in G , and let G' be the γ -reduction of G . Then G' is triangle-free, and every 3-coloring of G' can be converted to a 3-coloring of G in constant time. Moreover, if γ is secure, then G' can be regarded as having been obtained from G by deleting at most 126 edges, adding at most 116 edges, and deleting at least one isolated vertex.*

Proof. The graph G' is triangle-free, because γ is safe. As in the proof of Theorem 1.1, we argue that every 3-coloring of G' can be extended to a 3-coloring of G . If γ is secure, then every time vertices u and v are identified in the construction of G' , one of u, v is small. Thus the identification of u and v can be seen as a deletion of at most 59 edges and addition of at most 59 edges. The lemma follows by a more careful examination of the construction of G' . \square

Let G and C be as above. We say that two small vertices $u, v \in V(G)$ are *close* if either there is a path of length at most four between u and v consisting of small vertices, or a facial cycle of length at most six contains both u and v . A vertex u is close to an edge e if both u and e belong to the facial walk of the same face and the distance between u and one end of e in this facial walk is at most two. Thus for every vertex v there are at most

$1 + 4 \cdot 59 + 59^2 + 59^3 + 59^4$ vertices that are close to v , and for every edge e , there are at most 10 vertices that are close to e .

Lemma 4.2. *Given a triangle-free plane graph G and a vertex $v \in V(G)$, it can be decided in constant time whether G has a secure multigram with pivot v .*

Proof. This follows by inspecting the subgraph of G induced by vertices and edges that are close to v and testing the security of all multigrams with pivot v that lie in this subgraph. Given such multigram, the only non-trivial part of testing security is testing safety. Thus we may assume that the multigram satisfies all conditions in the definition of security, except safety. To test safety we need to check the existence of certain paths P of bounded length with prescribed ends. We claim that whenever such a test is needed every vertex of P , except possibly one, is small. The claim follows easily, except in the case of a tetragram $vv_2v_3v_4$, where v has degree three, the vertex v_3 is big, and letting x denote the neighbor of v_1 other than v_2 and v_4 , x is small, but has a big neighbor w . In this case the straightforward check whether w and x_3 are adjacent would take more than constant time, but it actually follows that w and x_3 are not adjacent: the vertex w belongs to a 4-face incident with the edge vx , for otherwise the tetragram is not secure; but then it follows that w and x_3 are not adjacent, for otherwise wv_3v_2 would be a triangle. This proves our claim that in the course of testing safety it suffices to examine paths with all but one vertex small.

It follows from the claim that security can be tested in constant time, as desired. \square

Lemma 4.3. *Let G and G' be triangle-free plane graphs, such that for some pair of non-adjacent vertices $u, v \in V(G)$ the graph G' is obtained from G by adding the edge uv . Let γ be a secure multigram in exactly one of the graphs G, G' . Then the pivot of γ is close to u or v in G , or to the edge uv in G' .*

Proof. Let v_1 be the pivot of γ . The claim is obvious if $v_1 \in \{u, v\}$, and thus assume this is not the case. In particular, γ is not a monogram or an octagram, and γ corresponds to a facial cycle F in G or G' . If F does not exist in G or F is not facial in G or G' , then v_1 is close to the edge uv in G' . Let us now consider the case that F is a facial cycle both in G and G' . As $v_1 \notin \{u, v\}$, the degree of v_1 is three both in G and G' . Let x_1 be the neighbor of v_1 distinct from its neighbors on F . Note that x_1 is small in G .

Suppose first that γ is a tetragram or a hexagram. Observe that the removal of the edge uv from G' must decrease the degree of some of the vertices affecting the security of γ , change the length of one of the faces incident with the edge v_1x_1 affecting the security of γ , or destroy a path affecting its safety. Therefore, if $\{u, v\} \cap (V(F) \cup \{x_1\}) = \emptyset$ and v_1 is not close to the edge uv in G' , then u or v is a small neighbor of x_1 in G that is big in G' . We conclude that v_1 is close to u or v in G .

Let us now consider the case that $\gamma = (v_1, v_2, \dots, v_5)$ is a decagram or a pentagram. As γ is secure in G or G' , all the vertices of γ are small in G . If $\{u, v\} \cap V(F) \neq \emptyset$, then v_1 is close to u or v in G , and thus assume that this is not the case. It follows that the degree of v_i is the same in G and G' , for $1 \leq i \leq 5$; in particular, $\deg(v_i) = 3$ for $1 \leq i \leq 4$. Let x_i be the neighbor of v_i not incident with F , for $1 \leq i \leq 4$. Similarly, we conclude that x_1 and x_3 are small in G , and if γ is a pentagram, then x_2 and x_4 are small in G . If $\{u, v\} \cap \{x_1, x_3\} \neq \emptyset$, or γ is a pentagram and $\{u, v\} \cap \{x_2, x_4\} \neq \emptyset$, then u or v is close to v_1 in G . If this is not the case, then the removal or addition of uv cannot affect the security of γ if γ is a decagram.

We are left with the case when γ is a pentagram, and $\{u, v\} \cap \{x_1, x_2, x_3, x_4\} = \emptyset$. It follows that the neighborhoods of x_2, x_3, x_4 and v_5 are the same in G and in G' . As γ is secure in G or G' , all neighbors of v_5 or x_2 , and all neighbors of x_3 or x_4 are small in G . As γ is not secure both in G and G' , the removal of uv

- destroys a path of length at most three between x_2 and v_5 or between x_3 and x_4 , or
- removes an edge incident with the common neighbor y of x_3 and x_4 , thus making the 5-cycle $x_3v_3v_4x_4y$ facial, or
- decreases the degree of a neighbor of x_2, x_3, x_4 or v_5 , making it small in G .

In all the cases, u or v is a small neighbor of x_2, x_3, x_4 or v_5 , and hence it is close to v_1 in G . □

The next theorem will serve as the basis for the proof of correctness of our algorithm. We defer its proof until the next section.

Theorem 4.4. *Every non-null triangle-free planar graph has a secure multi-gram.*

We are now ready to prove Theorem 1.2, assuming Theorem 4.4.

Algorithm 4.5. *There is an algorithm with the following specifications:*

Input: *A triangle-free planar graph.*

Output: *A proper 3-coloring of G .*

Running time: $O(|V(G)|)$.

Description. Using a linear-time planarity algorithm that actually outputs an embedding, such as [13] or [18], we can assume that G is a plane graph. The algorithm is recursive. Throughout the execution of the algorithm we will maintain a list L that will include the pivots of all secure multigrams in G , and possibly other vertices as well. We initialize the list L to consist of all vertices of G of degree at most three.

At a general step of the algorithm we remove a vertex v from L . There is such a vertex by Theorem 4.4 and the requirement that L include the pivots of all secure multigrams. We check if G has a secure multigram with pivot v . This can be performed in constant time by Lemma 4.2. If no such multigram exists, then we go to the next iteration. Otherwise, we let γ be one such multigram, and let G' be the γ -reduction of G . By Lemma 4.1 the graph G' is triangle-free and can be constructed in constant time by adding and deleting bounded number of edges, and removing a bounded number of isolated vertices. For every edge uv that was deleted or added during the construction of G' we add to L all vertices that are close to u or v , or to the edge uv in G or G' . By Lemma 4.3 this will guarantee that L will include the pivots of all secure multigrams in G' . We apply the algorithm recursively to G' , and convert the resulting 3-coloring of G' to one of G using Lemma 4.1. Since the number of vertices added to L is proportional to the number of vertices removed from G we deduce that the number of vertices added to L (counting multiplicity) is at most linear in the number of vertices of G . Thus the running time is $O(|V(G)|)$, as claimed. \square

Algorithm 4.5 has the following extension.

Algorithm 4.6. *There is an algorithm with the following specifications:*

Input: *A triangle-free plane graph G , a facial cycle C in G of length at most five, and a proper 3-coloring ϕ of C .*

Output: *A proper 3-coloring of G whose restriction to $V(C)$ is equal to ϕ .*

Running time: $O(|V(G)|)$.

Description. The description is exactly the same, except that we replace “secure” by “ C -secure” and appeal to Lemma 5.1 rather than Theorem 4.4. \square

5 Proof of correctness

In this section we prove Theorem 4.4, thereby completing the proof of correctness of the algorithm from the previous section. The theorem will follow from the next lemma. If xy is an edge in a plane graph, and f is a face of G incident with y but not with the edge xy , then we say that f is *opposite to* xy . Let us emphasize that this notion is not symmetric in x, y .

Lemma 5.1. *Let G be a connected triangle-free plane graph and let f_0 be its outer face. Assume that f_0 is bounded by a cycle C of length at most six, $V(G) \neq V(C)$, and if C has length six, then $|V(G) - V(C)| \geq 2$. Then G contains a C -secure multigram.*

Proof. Suppose for a contradiction that the lemma is false, and let G be a counterexample with $|E(G)|$ minimum. We first establish the following claim.

- (1) *If $K \neq C$ is a cycle in G of length at most six, then K bounds a face, or K has length six and the open disk bounded by K contains at most one vertex.*

To prove (1) let K be as stated, and let G' be the subgraph of G consisting of all vertices and edges that belong to the closed disk bounded by K . If K does not satisfy the conclusion of (1), then G' and K satisfy assumptions of Lemma 5.1. From the induction hypothesis applied to G' and K we deduce that G' has a K -secure multigram. However, every K -secure multigram in G' is a C -secure multigram in G .

It follows from (1) that C is an induced cycle and that every tetragram in G is safe.

We assign charges to vertices and faces of G as follows. Initially, a vertex v will receive a charge of $9 \deg(v) - 36$ if $v \notin V(C)$, and $8 \deg(v) - 19$ otherwise. The outer face f_0 will receive a charge of zero, and every other face f of length

ℓ will receive a charge of $9\ell - 36$. By Euler's formula the sum of the charges is equal to

$$\begin{aligned}
& \sum_{v \notin V(C)} 9(\deg(v) - 4) + \sum_{v \in V(C)} (8\deg(v) - 19) + \sum_{f \neq f_0} 9(\text{size}(f) - 4) \\
= & \sum_{v \in V(G)} 9(\deg(v) - 4) + \sum_f 9(\text{size}(f) - 4) - \sum_{v \in V(C)} \deg(v) + 8|V(C)| + 36 \\
= & 8|V(C)| - \sum_{v \in V(C)} \deg(v) - 36 \leq -1,
\end{aligned}$$

because all vertices of C have degree at least two, and at least one has degree at least three by hypothesis. Furthermore,

- (2) *if at least k vertices of C have degree at least three, then the sum of the charges is at most $-k$.*

We now redistribute the charges according to the following rules. The new charge thus obtained will be referred to as the *final* charge. We need a definition first. Let $f \neq f_0$ be a face of G incident with a vertex $v \in V(C)$. If there exist two consecutive edges in the boundary of f such that both are incident with v and neither belongs to C , then we say that f is a *v -interior face*. The rules are:

- (A) every face other than f_0 sends three units of charge to every incident vertex v such that either $v \in V(C)$ and v has degree two in G , or $v \notin V(C)$ and v has degree exactly three,
- (B) every big vertex not on C sends three units to each incident face, and four units to each 4-face that shares an edge with C ,
- (C) every vertex $v \in V(C)$ sends three units to every v -interior face,
- (D) if $x \in V(G)$ is C -forbidden, and y is a C -admissible neighbor of x of degree three, then x sends three units to the unique face opposite to xy , and one unit to the face opposite to yz for every C -admissible neighbor z of y of degree three,
- (E) every C -forbidden vertex sends five units to every C -admissible neighbor of degree at least four,

(F) for every C -admissible vertex y of degree at least four that has a C -forbidden neighbor we select a C -forbidden neighbor x of y and let y send one unit to each face opposite to xy , and one unit to the face opposite to yz for every C -admissible neighbor z of y of degree three.

Since G does not satisfy the conclusion of the theorem, it follows that every vertex of G has degree at least two, and every vertex of degree exactly two belongs to C . With these facts in mind we now show that every vertex has non-negative charge. To that end let $v \in V(G)$ have degree d , and assume first that v is C -admissible. If $d = 3$, then it starts out with a charge of -9 and receives three from each incident face by rule (A) for a final total of zero. If $d \geq 4$, then v starts out with a charge of $9d - 36 \geq 0$. If v has no C -forbidden neighbor, then it sends no charge and the claim holds. Thus we may assume that v has a C -forbidden neighbor, and let x be such neighbor selected by rule (F). Then v receives at least five units by rule (E), and sends at most $2d - 3$ by rule (F) for a total of at least $9d - 36 + 5 - (2d - 3) = 7d - 28 \geq 0$. Thus every C -admissible vertex has non-negative final charge. If v is big, but does not belong to C , then it sends only by rules (B), (D) or (E). It sends at most $3d$ using the first clause of rule (B), at most 24 using the second clause of rule (B) and at most $5d$ using rules (D) or (E) for a total final charge of at least $9d - 36 - 3d - 24 - 5d \geq 0$, because $d \geq 60$. Thus we may assume that $v \in V(C)$. Then v starts out with a charge of $8d - 19$ and sends a net total of $3(d - 3)$ using rules (A) or (C) (if $d = 2$, then v receives 3 by rule (A); and otherwise it sends $3(d - 3)$ by rule (C)) and it sends $5(d - 2)$ using rule (D) or (E) for a total of $8d - 19 - 3(d - 3) - 5(d - 2) = 0$. This proves our claim that the final charge of every vertex is non-negative.

It also follows that every face of length $\ell \geq 6$ has non-negative final charge, for every face sends at most three units to each incident vertex and only to those vertices by rule (A); thus the final charge is at most $9\ell - 36 - 3\ell \geq 0$.

We have thus shown that G has a face f of length at most five with strictly negative final charge. Clearly f is not the outer face.

(3) *No vertex incident with f has degree two.*

To prove (3) suppose for a contradiction that a vertex v of degree two is incident with f . Thus v and the two edges incident with v and f belong to C . Since $G \neq C$ and f has length at most five we deduce that at least two vertices incident with f are incident with C and have degree at least three.

Those two vertices do not receive any charge from f , and hence f has length four, because it has negative charge.

We deduce that f is bounded by a cycle $u_1u_2u_3u_4$, where u_1, u_2, u_3 are consecutive vertices of C , and u_2 has degree two. It follows that $u_4 \notin V(C)$, because C is induced. Since f has negative charge it does not receive charge by rule (B), and hence u_4 is small and C -admissible. Let C' be the cycle obtained from C by replacing the vertex u_2 by u_4 ; note that $|V(C')| = |V(C)| \leq 6$. As u_4 has degree greater than two, C' does not bound a face, hence it follows from (1) that $|V(C')| = 6$ and the open disk bounded by C' contains at most one vertex. Therefore, it contains exactly one, because $|V(G)| - |V(C)| \geq 2$. Let that vertex be v_4 ; then the remaining vertices of C can be numbered v_1, v_2, v_3 so that the cycle C is $u_1u_2u_3v_1v_2v_3$ and v_4 is adjacent to v_1, v_3 and u_4 . Then (u_4, u_1, u_2, u_3) is a C -secure tetragram, contrary to the assumption that G is a counterexample to the theorem. This proves (3).

Let uv be an edge of G such that f is opposite to uv . Let us say that v is a *sink* if v has degree three and both u and v are C -admissible. Let us say that v is a *source* if either $v \notin V(C)$ and v is big, or $v \in V(C)$ and f is v -interior. Since v does not have degree two by (3) we deduce that v is a sink if and only if it has degree three and receives three units of charge from f by rule (A) and f does not receive three units by rule (D) from u . Likewise, the vertex v is a source if and only if it sends three units to f by the first clause of rule (B) or by rule (C). Let s be the number of sources, and t the number of sinks. Thus the charge of f is at least $9 + 3s - 3t$ if f has length five and at least $3s - 3t$ if f has length four.

Let us assume now that f has length five, and let v_1, v_2, \dots, v_5 be the incident vertices, listed in order. Since f has negative charge, at least four of the five incident vertices are sinks, and so we may assume that v_1, v_2, v_3, v_4 are sinks. Thus $\gamma = (v_1, v_2, \dots, v_5)$ is a pentagram. For $i = 1, 2, 3, 4$ let x_i be the neighbor of v_i distinct from v_{i-1} and v_{i+1} (where $v_0 = v_5$). From (1) and the fact that G has no C -secure tetragram we deduce that the vertices x_1, x_2, x_3, x_4 are distinct and pairwise non-adjacent. If v_5 is a C -admissible vertex of degree three, then it follows from (1) that γ is C -secure decagram—otherwise, if there is a path of length two between x_1 and x_3 , then consider the 6-cycle $K = x_1v_1v_2v_3x_3y$. By (1) the open disk bounded by K includes at most one vertex of G . It follows that v_4 and v_5 are not inside the disk; thus either $y = x_2$ or x_2 is inside the disk. In either case, it follows that x_2 is

adjacent to x_1 and x_3 , a contradiction. Thus v_5 is either not C -admissible, or has degree at least four.

Therefore, v_5 is not a sink, and hence the final charge of f is at least -3 . It follows that v_5 is not a source, which in turn implies that v_5 is C -admissible (because v_1 and v_4 are C -admissible), and hence has degree at least four. We claim that γ is a safe pentagram. If there exists a path P in $G \setminus \{v_1, v_2, v_3, v_4\}$ of length at most three with ends x_2 and v_5 , then P can be completed to a cycle K using the path $v_5v_1v_2x_2$. By (1) we conclude that this cycle bounds an open disk that contains at most one vertex, and it follows that x_1 is adjacent to x_2 , which is a contradiction. In order to complete the proof that γ is safe it suffices to consider a path in $G \setminus \{v_1, v_2, v_3, v_4\}$ of length at most three with ends x_3 and x_4 . This path can be completed via the path $x_4v_4v_3x_3$ to a cycle K' . Since v_3 and v_4 have degree three, and x_3 is not adjacent to x_4 , we deduce from (1) that K' is a facial cycle. Since x_3 is not adjacent to x_4 we may assume for a contradiction that K' has length six; let its vertices in order be $x_3v_3v_4x_4ab$. Then $(v_4, v_3, x_3, b, a, x_4)$ is a C -secure hexagram in G , a contradiction. This proves our claim that γ is a safe pentagram. By symmetry the pentagram $(v_4, v_3, v_2, v_1, v_5)$ is also safe. We have already established that the vertices $v_1, v_2, \dots, v_5, x_1, x_2, x_3, x_4$ are C -admissible. If x_i has a C -forbidden neighbor for some $i \in \{1, 2, 3, 4\}$, then f receives one unit of charge either from that neighbor by rule (D) if x_i has degree three, or from x_i by rule (F) otherwise. Since the degree of v_5 is greater than three, if v_5 has a C -forbidden neighbor, then it sends one unit of charge to f by rule (F). Thus at most two vertices among v_5, x_1, x_2, x_3, x_4 have a C -forbidden neighbor, and hence it follows that either γ , or $(v_4, v_3, v_2, v_1, v_5)$ is a C -secure pentagram, a contradiction.

Thus we have shown that f has length four. Let v_1, v_2, v_3, v_4 be the incident vertices listed in order. Let us recall that every tetragram is safe. Since f has negative charge at least $3s - 3t$, we may assume that v_1 is a sink and v_3 is not a source. Since v_3 is not a source and γ is not a C -secure tetragram, $v_3 \in V(C)$ and f is not v_3 -interior. Then, (3) implies that exactly one of v_2v_3, v_3v_4 is an edge of C , and hence we may assume the latter. In particular, $v_2 \notin V(C)$. If v_2 is a sink, then the charge of f is at least -6 , otherwise it is at least -3 .

Let v be the neighbor of v_1 other than v_2 and v_4 . Since v_1 is a sink, v is C -admissible. If v has no C -forbidden neighbor, then γ is a C -secure tetragram, a contradiction. Thus v has a C -forbidden neighbor u . Suppose first that $u \notin V(C)$; hence u is big and f receives 4 units of charge from u

by rule (B). As the charge of f is negative, we conclude that v_2 is a sink. Let v' be the neighbor of v_2 distinct from v_1 and v_3 . Since γ is not a C -secure tetragram, v' has a C -forbidden neighbor u' . However, by rules (D) and (F), f receives one unit of charge from each of u and u' , making its final charge nonnegative.

We conclude that every C -forbidden neighbor of v belongs to C . Since rules (D) or (F) still apply, we obtain

- (4) *each 4-face f that shares an edge with C has final charge at least $-2t$, where $t \in \{1, 2\}$ is the number of sinks of f .*

As γ is not a C -secure tetragram, at least one C -forbidden neighbor u of v is adjacent to neither v_2 nor v_4 . Let C, C_1, C_2 be the three cycles in the graph consisting of C and the path uvv_1v_4 , numbered so that v_3 belongs to C_2 . We claim that C_2 has length at least seven. Note that v_2 lies in the open disk bounded by C_2 ; thus by (1) the cycle C_2 has length at least six. Assume that C_2 has length exactly six. By (1), the open disk it bounds contains v_2 and no other vertex of G . It follows that v_2 has degree three and is adjacent to u , which contradicts the choice of u .

It follows that C_2 has length at least seven, and hence C_1 has length at most five, and by the choice of u , it has length exactly five. By (1), C_1 bounds a face. Thus u and v_4 have a common neighbor of degree two on C , say z . Let $f(\gamma)$ denote the face bounded by C_1 . Let us call each tetragram for which $f(\gamma)$ is defined *bad*. Note that at this point, we have proved that bad tetragrams are the only faces of G with negative final charge. Let b be the number of bad tetragrams.

The face $f(\gamma)$ starts out with a charge of 9, sends three units to each of v_1, v, z by rule (A), and receives one either from v_3 by rule (D), or from v_2 by rule (F) for a total of +1. Also, if there exists a tetragram γ' distinct from γ such that $f(\gamma) = f(\gamma')$, then the final charge of $f(\gamma)$ is at least +2. It follows that the total charge of G is at least $-b$.

Since v_3, v_4 and u have degree at least three, by (2) the total charge of G is at most -3 , and so $b \geq 3$. However, since $b > 1$, there must be another bad tetragram, giving at least one more vertex of C of degree at least three. Therefore, the final charge of G is at most -4 by (2), and hence $b \geq 4$. Let u' be the unique neighbor of u in $C \setminus z$. Since $b \geq 4$ it follows by inspection that v_3v_4 and uu' are the only edges of C that belong to a bad tetragram. We deduce that G has a vertex v' of degree three with neighbors v, v_2, u' . It follows that (v, v', v_2, v_1) is a C -secure octagram, as desired. \square

Proof of Theorem 4.4. Let G be a triangle-free planar graph. We may assume that G is actually drawn in the plane. If G has a vertex of degree two or less, then it has a secure monogram, and so we may assume that G has minimum degree at least three. It follows that G has a facial cycle C of length at most five. Let H be the component of G containing C . We may assume that C bounds the outer face of H . Since H has minimum degree at least three it follows that $V(H) - V(C) \neq \emptyset$. By Lemma 5.1 H has a C -secure multigram; but any C -secure multigram in H is a secure multigram in G , as desired. \square

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