### Coloring

A coloring (vertex-coloring) of *G* is a function *c* that maps V(G) to some set *S* in such a way that if  $u \sim v$ , then  $c(u) \neq c(v)$ . We say *c* is a *k*-coloring if  $|S| \leq k$ . The chromatic number of *G*, denoted by  $\chi(G)$ , is the least integer *k* such that *G* has a *k*-coloring.



 $\chi(G) \le 1 \Leftrightarrow E(G) = \emptyset$ 

 $\chi(G) \leq 2 \Leftrightarrow G$  is bipartite

Deciding  $\chi(G) \leq 3$  is NP-hard.

A greedy algorithm: Order the vertices  $v_1, v_2, ..., v_n$ . Having colored  $v_1, ..., v_{i-1}$  color  $v_i$  using the least available color.

**Theorem.** Let  $k \coloneqq \max_{H \subseteq G} \delta(H)$ . Then  $\chi(G) \le k + 1$ .

**Corollary.**  $\chi(G) \leq \Delta(G) + 1$ .

[Reminder:  $\Delta(G)$  = maximum degree]

How to compute  $\max_{H \subseteq G} \delta(H)$ ?

# Algorithm.

**Input:** A graph *G* and integer  $k \ge 1$ 

**Output:** Either a subgraph *H* of *G* with  $\delta(H) \ge k$ , or a valid statement that no such subgraph exists

## **Description:**

If G is null answer "no"

If  $\delta(G) \ge k$ , then return *G* 

Otherwise pick  $v \in V(G)$  of degree < k and apply the algorithm recursively to  $G \setminus v$ 

**Theorem** (Brooks) If *G* is connected, not complete and not an odd cycle, then  $\chi(G) \leq \Delta(G)$ .

**Proof.** WMA  $\Delta(G) \geq 3$ . WMA G is  $\Delta$ -regular.

If we can find a linear ordering



then the greedy algorithm succeeds.

Need  $v_1, v_2, v_n \in V(G)$  distinct such that:

 $v_1 \sim v_n$ ,  $v_2 \sim v_n$ ,  $v_1 \neq v_2$  and  $G \setminus \{v_1, v_2\}$  is connected.

If G is 3-connected, then  $v_1, v_2, v_n$  exist, because ~ is not transitive (because G is not complete).

If G is not 2-connected, then it can be



written as  $G = G_1 \cup G_2$ , where  $|V(G_1) \cap V(G_2)| = 1$ . By induction

$$\chi(G_1) \le \Delta(G_1) \le \Delta(G)$$
  
 $\chi(G_2) \le \Delta(G_2) \le \Delta(G)$ 

and hence  $\chi(G) \leq \Delta(G)$ , as desired.

So WMA *G* is 2-connected, but not 3-connected. So  $\exists v_n \in V(G)$  such that  $G \setminus v_n$  is connected, but not 2-connected.



By the block structure of  $G \setminus v_n$  there are two distinct end-blocks  $B_1, B_2$ . Since G is 2-connected, for i = 1,2 there is a neighbor  $v_i$  of  $v_n$  in  $B_i$  that is not a cut vertex of  $G \setminus v_n$ .

Then  $v_1$ ,  $v_2$ ,  $v_n$  are as desired.

 $\omega(G) = \text{size of a maximum clique.}$ 

clique = vertex-set of a complete subgraph. Clearly

 $\chi(G) \ge \omega(G)$ 



In general,  $\chi(G)$  could be big,  $\omega(G)$  small. In fact, there exist graphs with  $\omega(G) = 2$  and  $\chi(G)$  arbitrarily big. (Problem sets) In fact,  $\forall k, \ell \exists$  graph *G* with no cycles of length  $\leq \ell$  and  $\chi(G) \geq k$  (existence later). **Definition.** A graph *G* is called **perfect** if  $\chi(H) = \omega(H)$  for every **induced** subgraph *H* of *G*.

#### Example.



**Example.** Bipartite graphs are perfect (easy).

**Example.** Complements of bipartite graphs are perfect (exercise).

**Definition.** The line graph of *G* is a graph *L* defined by

$$V(L) = E(G)$$

 $e, f \in V(L)$  are adjacent in L if they are adjacent in G.





Example. Line graphs of bipartite graphs are perfect (exercise).

**Example.** Complements of line graphs of bipartite graphs are perfect (exercise).

#### **Sample argument:** L = Line graph (G), G bipartite.

Enough to show  $\chi(L) = \omega(L)$ :

 $\omega(L) = \Delta(G)$ 

 $\chi(L) =$  edge-chromatic number of  $G = \chi'(G)$ .

**Example.** Odd cycles of length  $\geq$  5 are not perfect.

**Example.** Complements of odd cycles of length  $\geq$  5 are not perfect.



$$\chi(C_{2k+1}^c) = k+1$$

$$\omega(C_{2k+1}^c) = k$$

 $\alpha(G)$  = size of maximum independent set

 $\omega(G) =$  size of maximum clique

 $\omega(G) = \alpha(G^c)$ 

**Lemma.**  $|V(H)| \le \chi(H)\alpha(H)$  for every graph *H*. **Proof.** 

*G* is **perfect** if  $\chi(H) = \omega(H)$  for every induced subgraph *H* of *G*.

The weak perfect graph theorem (Lovász) G is perfect  $\Leftrightarrow G^c$  is perfect.

This is a deep theorem. A proof will follow from

Theorem (Lovász) A graph G is perfect

 $\mathbf{\hat{l}}$ 

 $|V(H)| \leq \alpha(H)\omega(H)$  for every induced subgraph of G.

**Proof.**  $\Downarrow$ : Let *G* be perfect, and let *H* be an induced subgraph.

 $|V(H)| \le \chi(H)\alpha(H) = \omega(H)\alpha(H)$ 

by the lemma and perfection of G.

 $\hat{\mathbf{1}}$ : Follows from next thm.

**Theorem** If *G* is minimally imperfect, then  $|V(G)| = \alpha(G)\omega(G) + 1$ 

**Def.** *G* is minimally imperfect if *G* is not perfect and  $G \setminus v$  is perfect for every  $v \in V(G)$ .

**Observations.** If *G* is minimally imperfect and  $\omega := \omega(G)$ , then

- (a)  $\chi(G) = \omega + 1$ , and
- (b) if  $S \subseteq V(G)$  is independent, then  $\omega(G \setminus S) = \omega$ .

**Proof.** (a)  $\chi(G) > \omega$ , because  $\chi(H) = \omega(H)$  for every proper induced subgraph *H* of *G*.

 $\chi(G) \le \chi(G \setminus v) + 1 = \omega(G \setminus v) + 1 \le \omega + 1$ 

(b) If  $\chi(G \setminus S) = \omega(G \setminus S) < \omega$ , then color  $G \setminus S$  using  $\leq \omega - 1$  colors and add *S* to get an  $\omega$ -coloring of *G*, contrary to (a).

# **Theorem** If *G* is minimally imperfect, then $|V(G)| = \alpha(G)\omega(G) + 1$

**Proof.**  $\leq$ : easy and not needed (exercise).

≥: Let n: = |V(G)|,  $\alpha$ : =  $\alpha(G)$  and  $\omega$ : =  $\omega(G)$ . We must show that  $n \ge \alpha \omega + 1$ . We will do so by constructing  $\alpha \omega + 1$  linearly independent vectors in  $\mathbb{R}^n$ .

Let  $S_0$ : = { $v_1, v_2, ..., v_\alpha$ } be a maximum independent set. For  $i = 1, 2, ..., \alpha$  pick an  $\omega$ -coloring of  $G \setminus v_i$ :

$$S_{(i-1)\omega+1}, S_{(i-1)\omega+2}, \dots, S_{(i-1)\omega+\omega}$$
(\*)

 $G \setminus v_1$  has  $\omega$ -coloring  $S_1, S_2, \dots, S_{\omega}$ 

 $G \setminus v_2$  has  $\omega$ -coloring  $S_{\omega+1}, S_{\omega+2}, \dots, S_{2\omega}$ 

$$G \setminus v_i$$
 has  $\omega$ -coloring  $S_{(i-1)\omega+1}, S_{(i-1)\omega+2}, \dots, S_{(i-1)\omega+\omega}$ 

$$G \setminus v_{\alpha}$$
 has  $\omega$ -coloring  $S_{(\alpha-1)\omega+1}, S_{(\alpha-1)\omega+2}, \dots, S_{\alpha\omega}$ 

Thus we have  $\alpha \omega + 1$  independent sets  $S_0, S_1, \dots, S_{\alpha \omega}$ .

Claim. Each maximum clique is disjoint from exactly one  $S_i$ .

**Pf.** Let *Q* be a maximum clique. If  $v_i \notin Q$ , then *Q* is an  $\omega$ -clique in  $G \setminus v_i$ . Since (\*) is an  $\omega$ -coloring of  $G \setminus v_i$ , *Q* intersects all those independent sets.

If  $S_0 \cap Q = \emptyset$ , then  $S_0$  is the unique  $S_i$  disjoint from Q.

Otherwise  $v_i \in Q$  for some *i* and  $Q - \{v_i\}$  is an  $(\omega - 1)$ -clique in  $G \setminus v_i$  and so Q intersects all but one of the sets in (\*). This proves the claim.

WMA  $V(G) = \{1, ..., n\}$ . I claim  $\mathbb{1}_{S_0}, \mathbb{1}_{S_1}, ..., \mathbb{1}_{S_{\alpha\omega}}$  are linearly independent. Note that  $\omega(G \setminus S_i) = \omega$  for all  $i = 0, 1, ..., \alpha \omega$  by Observation. Thus there exists a maximum clique  $Q_i$  disjoint from  $S_i$ . We have

$$\mathbb{1}_{S_i} \cdot \mathbb{1}_{Q_j} = \left| S_i \cap Q_j \right| = \begin{cases} 0, & i = j \\ 1, & i \neq j \end{cases}$$

Suppose  $\sum \lambda_i \mathbb{1}_{S_i} = 0$ . Then

$$0 = \left(\sum_{i=0}^{\alpha\omega} \lambda_i \mathbb{1}_{S_i}\right) \cdot \mathbb{1}_{Q_j} = \sum_{i=0}^{\alpha\omega} \lambda_i \left(\mathbb{1}_{S_i} \cdot \mathbb{1}_{Q_j}\right) = \left(\sum_{i=0}^{\alpha\omega} \lambda_i\right) - \lambda_j$$

for every  $j = 0, 1, ..., \alpha \omega$ . Thus  $\lambda_0 = \lambda_1 = \cdots = \lambda_{\alpha \omega} = (\sum_{i=0}^{\alpha \omega} \lambda_i)$ , and so they are all 0.

## **The Strong Perfect Graph Theorem**

A hole in a graph is an induced cycle of length at least 4. An **antihole** is the complement of a hole.

**Strong Perfect Graph Theorem.** A graph is perfect if and only if it has no odd hole and no odd antihole.

Implies the Weak Perfect Graph Theorem.