

# Graph Algorithm Note: Apr 08, 2009

Scribed by Jie Ma

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## 1 Homework 2

Find an explicit constant  $c$  and an algorithm to find a maximum independent set in a planar graph in time  $O(2^{c\sqrt{n}})$ , where  $n$  is the number of vertices of the graph. (You can use the material from course website.) Due by 4/15/2009.

## 2 An application: approximating $\alpha(G)$ for planar graphs

We recall the Separator Theorem.

**Theorem 2.1** *For any planar graph  $G$  on  $n$  vertices, there exists a partition  $(A, B, C)$  of  $V(G)$  such that*

- (i)  $|C| \leq 2\sqrt{2}\sqrt{n}$ ;
- (ii)  $|A \cup C|, |B \cup C| > \frac{n}{3}$ ;
- (iii) there is no edge from  $A$  to  $B$ .

**Lemma 2.2** *Let  $G$  be a planar graph on  $n$  vertices, and let  $\varepsilon \in (0, 1)$ . Then there is a set  $X \subset V(G)$  of size  $O(\sqrt{\frac{n}{\varepsilon}})$  such that no component of  $G - X$  has more than  $\varepsilon n$  vertices.*

*Proof.* Let  $X := \emptyset$ . If some component  $H$  of  $G - X$  has no less than  $\varepsilon n$  vertices, then apply the Separator Theorem to  $H$  to get a partition  $(A, B, C)$  as in the theorem:  $|C| \leq \sqrt{8n}$ ,  $|A| \leq \frac{2}{3}|V(H)|$ ,  $|B| \leq \frac{2}{3}|V(H)|$ . Set  $X := X \cup C$ , repeat.

We classify the components arising from in the algorithm into levels: level 0 component is one we will end up with; Level  $i$  component is one such that after applying the algorithm to it, all resulting components are of level  $j \leq i - 1$ , and at least one is of level exactly  $i - 1$ .

Each level  $i$  component has more than  $(\frac{3}{2})^{i-1}\varepsilon n$  vertices, for  $i \geq 1$ . Then, the number of level  $i$  components is no more than  $\frac{n}{(\frac{3}{2})^{i-1}\varepsilon n} = (\frac{2}{3})^{i-1}/\varepsilon$ .

We may assume that  $\varepsilon \geq \frac{1}{n}$ ; otherwise,  $\frac{1}{\varepsilon} \geq n$ , and so  $\sqrt{\frac{n}{\varepsilon}} \geq n$ , thus  $X = V(G)$  satisfies the conclusion of lemma, because  $|X| = n = O(\sqrt{\frac{n}{\varepsilon}})$ . Let there be  $k$  levels. Then,  $1 \leq (\frac{2}{3})^{k-1}/\varepsilon \leq (\frac{2}{3})^{k-1}n$ , then  $(\frac{3}{2})^{k-1} \leq n$ , then  $k \leq \log_{3/2} n + 1$ .

Fix level  $i$ , and let  $L_1, L_2, \dots, L_t$  be the components of level  $i$ . Let  $n_j = |V(L_j)|$ . How many vertices get added to  $X$  at this level? Since  $n_1 + n_2 + \dots + n_t = \text{Const} \leq n$ ,

$$\sum_{j=1}^t \sqrt{8n_j} \leq \sqrt{8t} \sqrt{\frac{n}{t}} \leq \sqrt{8nt} \leq \sqrt{8} \sqrt{n} (\frac{2}{3})^{(i-1)/2} / \sqrt{\varepsilon}.$$

Thus, the total size of  $X$  is at most

$$O\left(\sqrt{\frac{n}{\varepsilon}}\right) \sum_{i \geq 1} \left(\frac{2}{3}\right)^{(i-1)/2} = O\left(\sqrt{\frac{n}{\varepsilon}}\right).$$

Thus this proves the lemma. ■

**Lemma 2.3** *The set  $X$  can be found in time  $O(n \log n)$ , assuming a linear-time separator algorithm.*

*Proof.* Use the above algorithm. There are  $O(\log n)$  levels, each take linear time. ■

**Algorithm 1 (approximating  $\alpha(G)$  for planar graphs):** Pick  $\varepsilon := \frac{\log n}{n}$ . We may find a set  $X$  as in the Lemma in time  $O(n \log n)$ . For each component  $H$  of  $G - X$ , we find  $\alpha(H)$  exactly by looking at all subsets of  $V(H)$  in time  $O(2^{|V(H)|}) = O(n)$ . (So the running time is  $O(n^2)$ .)

Let  $I$  be the union of the maximum independent sets in  $H$  (over all components  $H$  of  $G - X$ ), and let  $I_{opt}$  be the maximum independent set in  $G$ . Then,

$$\frac{|I_{opt}| - |I|}{|I_{opt}|} \leq \frac{|X|}{|I_{opt}|} \leq \frac{n/\sqrt{\log n}}{n/5} = O\left(\frac{1}{\sqrt{\log n}}\right).$$

Here,  $|I_{opt}| \geq \frac{n}{5}$  since any planar graph is 5-choosable. And the running time is  $O(n^2)$ .

**Algorithm 2 (approximating  $\alpha(G)$  for planar graphs):** Pick  $\varepsilon := \frac{\log \log n}{n}$ . We may find a set  $X$  as in the Lemma in time  $O(n \log n)$ . For each component  $H$  of  $G - X$ , we find  $\alpha(H)$  exactly by looking at all subsets of  $V(H)$  in time  $O(2^{|V(H)|}) = O(\log n)$ .

Then,

$$\frac{|I_{opt}| - |I|}{|I_{opt}|} \leq \frac{|X|}{|I_{opt}|} \leq \frac{n/\sqrt{\log \log n}}{n/5} = O\left(\frac{1}{\sqrt{\log \log n}}\right).$$

And the running time is  $O(n \log n)$ .

### 3 Matrix Decomposition

Considering

$$A\bar{X} = \bar{b},$$

where  $A$  is a symmetric positive definite. Let  $G$  be the corresponding graph:  $i \sim j \Leftrightarrow a_{ij} \neq 0, i \neq j, V(G) = \{1, \dots, n\}$ .

Assume that  $G$  is planar.

$A$  can be wrote as  $A = LDL^t$ , where  $L$  is lower-triangular,  $D$  is diagonal.  $L\bar{y} = \bar{b}$ ,  $D\bar{Z} = \bar{y}$ ,  $L^t\bar{X} = \bar{Z}$ .

Objective is to reorder  $V(G)$  (rows and columns of  $A$ ; replace  $A$  by  $PAP^t$  for some permutation matrix  $P$ ) to get the "fill-in" under control (to minimize the number of "fill-in"), where "fill-in" refers to non-zero entries of  $L$  with corresponding entry of  $A$  zero.

**Theorem 3.1** *If  $A$  is symmetric positive definite,  $G$  is a planar on  $n$  vertices, then there is a permutation matrix  $P$  such that the number of the fill-in of the matrix  $PAP^t$  is  $O(n \log n)$ .*

**Theorem 3.2** *There is a permutation matrix  $P$  such that the factorization  $PAP^t = LDL^t$  requires  $O(n^{3/2})$  multiplication.*